



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/685,285	10/10/2000	Rixin ge	05456.105008

Steven P Wigmore Esq  
King & Spalding  
191 Peachtree Street NE  
45th Floor  
Atlanta, GA 30303



## FORMALITIES LETTER



\*OC000000005610632\*

Date Mailed: 12/11/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$890.
  - \$810 for 45 total claims over 20.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1730.

A copy of this notice ~~MUST~~ be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

01/26/2001 KZHDIE 00000060 09665285  
01 FC:101  
02 FC:105  
03 FC:102  
04 FC:103  
710.00  
150.00  
80.00  
810.00

Section  
\$ #3

PATENTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**John M. Hammer et al.**

Serial No. **09/685,285**

Filed: **October 10, 2000**

Group Art Unit: **2162**

For: **Method and System for Creating a Record  
for one or More Computer  
Security Incidents**

**TRANSMITTAL OF MISSING PARTS AND FILING FEE**

Box Missing Parts  
Assistant Commissioner of Patents  
Washington, DC 20231

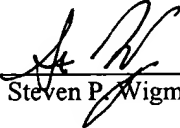
January 19, 2001

Sir:

In response to the Notice of Missing Parts mailed December 11, 2000, the undersigned attorney for applicant hereby transmits:

1. four (4) executed Declaration and Power of Attorney forms for Applicants;
2. PTO-1595 and an executed Assignment from the Applicants to Internet Security Systems, Inc.;
3. two checks, one in the amount of \$1,650 to cover the basic filing fee and the surcharge for the late filing of these parts, and one in the amount of \$40 to cover the assignment recordal fee; and
4. a duplicate copy of Notice to File Missing Parts of Non-Provisional Application—Filing Date Granted.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on January 19, 2001.

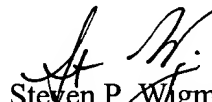
  
Steven P. Wigmore, Reg. No. 40,447

The filing fee is calculated as follows:

		SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$355		\$710
TOTAL CLAIMS	65 - 20 =	45	x9 =		x18 =	\$ 810
INDEP. CLAIMS	4 - 3 =	1	x40 =		x80 =	\$ 80
MULTIPLE DEPENDENT CLAIM PRESENTED			+135 =		+270 =	
LATE-FILING SURCHARGE			+ 65 =		+130 =	\$130
FOREIGN LANGUAGE SURCHARGE			+130 =		+130 =	
<b>TOTAL</b>					<b>TOTAL</b>	<b>\$1,730</b>

It is believed that the submission of these papers and this payment completes the filing of all necessary papers and it is therefore respectfully requested that the application be passed to the appropriate examining group for action on the merits.

Respectfully submitted,

  
Steven P. Wigmore  
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